

growth, calmodulin (CaM) (26), an intracellular  $Ca^{2+}$  receptor protein; calcineurin (19), a CaM-dependent protein phosphatase; and  $Ca^{2+}$ -CaM-dependent protein kinase II (27) regulate nerve growth. Double immunostaining of IP<sub>3</sub>R1 and microtubules revealed that the distribution of IP<sub>3</sub>R1 was associated with that of microtubules such as tubulin in the growth cone (28). Thus, IICR through IP<sub>3</sub>R1 in the growth cone and its downstream effectors might act locally to regulate microtubule assembly and promote neurite extension. This notion is also supported by our findings that IP<sub>3</sub>R1 appears not to be distributed in filopodia and that inactivation of this molecule does not affect filopodial motility.  $[Ca^{2+}]_i$  mobilization by IICR could modulate  $Ca^{2+}$  influxes through  $Ca^{2+}$  channels. Therefore, IICR from internal stores and  $Ca^{2+}$  influx may act together to direct nerve growth.

References and Notes

1. C. S. Goodman and C. J. Shatz, *Cell* **72/Neuron** **10** (suppl.), 77 (1993).
2. S. B. Kater and L. R. Mills, *J. Neurosci.* **11**, 891 (1991).
3. C. Cypher and P. C. Letourneau, *Curr. Opin. Cell Biol.* **4**, 4 (1992).
4. T. B. Kuhn, C. V. Williams, P. Dou, S. Kater, *J. Neurosci.* **18**, 184 (1998).
5. P. Doherty and F. S. Walsh, *Curr. Opin. Neurobiol.* **4**, 49 (1994).
6. T. M. Gomez, D. M. Snow, P. C. Letourneau, *Neuron* **14**, 1233 (1995).
7. J. Q. Zheng, M. Felder, J. A. Conner, M.-m. Poo, *Nature* **368**, 140 (1994); H.-j. Song, G.-L. Ming, M.-m. Poo, *ibid.* **388**, 275 (1997).
8. R. A. Silver, A. G. Lamb, S. R. Bolsover, *ibid.* **343**, 751 (1990).
9. N. Maeda, M. Niinobe, Y. Inoue, K. Mikoshiba, *Dev. Biol.* **133**, 67 (1989); T. Furuichi et al., *Receptors Channels* **1**, 11 (1993); T. Furuichi et al., *Nature* **342**, 32 (1989).
10. Microsome membrane fractions were prepared from DRGs and cerebella of embryonic day (ED) 11 chick embryos or postnatal day (PD) 20 mice, as described (23). Proteins in the microsome fraction (10 µg) were subjected to SDS-polyacrylamide gel electrophoresis (5% gel) in the buffer system of U. K. Laemmli [*Nature* **227**, 680 (1970)]. After electrophoretic transfer to polyvinylidene fluoride membranes (Millipore), immunodetection probed with 4C11 mAb (1 µg/ml) was carried out and then visualized with an enhanced chemifluorescence immunoblotting kit (Amersham).
11. DRG neurons from ED11 chick embryos were dissociated by trypsinization and cultured on poly-L-lysine- and laminin (Gibco-BRL)-coated cover slips with nerve growth factor-containing L-15 medium (Gibco-BRL) (27). The cells were cultured for 2 hours and fixed with 4% paraformaldehyde in phosphate-buffered saline for 30 min. Indirect immunocytochemistry was done as modified from P. C. Letourneau and T. A. Shattuck [*Development* **105**, 505 (1989)].
12. O. Thastrup et al., *Proc. Natl. Acad. Sci. U.S.A.* **87**, 2466 (1990).
13. TG (10 µM) or LiCl (50 mM) dissolved in the culture medium was added at the time of plating. The culture medium (vehicle) and 50 mM of NaCl dissolved in the medium were used for controls in the TG and LiCl experiments, respectively. DRG neurons were treated with these drugs for 2 or 4 hours. At the indicated time points, multiple visual fields ( $n > 5$ ) were chosen at random from replicate cultures and were observed under a phase-contrast microscope (Axiovert 135; Carl Zeiss). The lengths of the longest neurite per cell were measured and analyzed ( $n >$

- 300 cells in a dish) for each condition and at each time point with IPLab Spectrum imaging software (Signal Analytics).
14. Heparin or de-N-sulfated heparin (Sigma) dissolved in culture medium (1.6 mg/ml) was loaded into DRG neurons by trituration. The trituration loading was confirmed by observing fluorescence of FITC-conjugated dextran (molecular size: 150 kD; Sigma) coincidentally loaded with the test reagents.
15. M. J. Berridge, C. P. Downes, M. R. Hanley, *Cell* **59**, 411 (1989).
16. Heparin or de-N-sulfated heparin (1.6 mg/ml in pipette) was microinjected into cultured DRG neurons with neurites and growth cones. Microinjected test reagents were confirmed by observing the fluorescence of FITC concomitantly injected. Growth cone behavior was observed by time-lapse video microscopy with a cooled charge-coupled device (CCD) camera (PXL-1400; Photometrics) and a Macintosh computer with IPLab Spectrum imaging software.
17. D. G. Jay and H. Keshishian, *Nature* **348**, 548 (1990).
18. J. C. Liao, J. Roider, D. G. Jay, *Proc. Natl. Acad. Sci. U.S.A.* **91**, 2659 (1994).
19. H. Y. Chang et al., *Nature* **376**, 686 (1995).
20. F.-S. Wang et al., *Science* **273**, 660 (1996).
21. A. M. Sydor et al., *J. Cell Biol.* **134**, 1197 (1996).
22. Microsome membrane fractions were prepared from cerebella of adult mice, as described (23). The 4C11 mAb and the nonspecific IgG (Chemicon International) were labeled with malachite green isothiocyanate (MG) (Molecular Probes) [D. G. Jay, *Proc. Natl. Acad. Sci. U.S.A.* **85**, 5454 (1988)]. Proteins (0.4 mg/ml) in the microsome fraction dissolved in a  $Ca^{2+}$  mobilization buffer were incubated with either MG-labeled 4C11 mAb (20 µg/ml) or MG-labeled nonspecific IgG (20 µg/ml) for 30 min and then subjected to laser irradiation (10 min, wavelength  $\lambda = 620$  nm, line length  $\tau = 8.0$  ns,  $5 \pm 1$  mJ per pulse at 10 Hz, 1.5-mm diameter), from a pulsed neodymium:yttrium-aluminum-garnet pump laser (Quanta-Ray, GCR-230-10; Spectra-Physics) and optical parametric oscillator (MOPPO-730; Spectra-Physics). IICR measurement was done as described (23). Samples were incubated at 30°C in a buffer containing 1.0 mM adenosine triphosphate (ATP) and an ATP regeneration system, and  $Ca^{2+}$  uptake by ATP and release by IP<sub>3</sub> (200 nM) activities were monitored with calcium green-1 (2 µM) (Molecular Probes) in a luminescence spectrometer (LS50B; Perkin-Elmer). The  $Ca^{2+}$  concentration in the buffer was calculated from the maximal fluorescence value ( $F_{max}$ ) and minimum flu-

- orescence value ( $F_{min}$ ) obtained in the presence of excess  $Ca^{2+}$  (100 µM of  $CaCl_2$ ) and 10 mM of ethylene glycol-bis(β-aminoethyl ether)-N,N,N',N'-tetraacetic acid, respectively, after treatment with ionomycin (10 µM). The equation used was  $[Ca^{2+}] = K_d(F - F_{min})/(F_{max} - F)$ , where  $K_d$  is the rate constant of fluorescence dye.
23. S. Nakade, N. Maeda, K. Mikoshiba, *Biochem. J.* **277**, 125 (1991).
24. The MG-labeled antibodies (1.0 mg/ml) were loaded by trituration, as described (19–21). MG-labeled non-specific rat or mouse IgG (Chemicon International) was used for control experiments. Micro-CALI experiments were done within 10 hours after antibody loading. Some experiments in each micro-CALI experiment were analyzed in a blind fashion to verify the results of analysis ( $n = 18$ ). DRG neuronal cultures were kept at 37°C in a stage incubator throughout the experiment. Complete retention of the loaded antibodies within the growth cone was confirmed for 10 hours after antibody loading in about 82% of FITC-positive cells, by immunocytochemistry with a secondary antibody in the same FITC-positive cells. A chosen growth cone was observed with phase-contrast optics with a ×40 objective lens (Plan-Neofluar; Carl Zeiss) for 5 min, and then a region of the growth cone was subjected to laser irradiation (5 min,  $\lambda = 620$  nm,  $\tau = 3.5$  ns, 20 µJ per pulse at 20 Hz, about 14-µm diameter), with a nitrogen-driven dye laser (VSL-337ND and DLMS-220; Laser Science). Growth cones were observed during irradiation and, for an additional 15 min, by time-lapse video microscopy (every 10 s) with a cooled CCD camera (PXL-1400; Photometrics) and a Macintosh computer with a custom-made software TI-Workbench written by T. Inoue.
25. K. Takei et al., unpublished data.
26. M. F. A. VanBerkum and C. S. Goodman, *Neuron* **14**, 43 (1995).
27. Y. Goshima, S. Ohsako, T. Yamauchi, *J. Neurosci.* **13**, 559 (1993).
28. Y. Aihara et al., unpublished data.
29. M. Matsumoto et al., *Nature* **379**, 168 (1996).
30. We thank T. Michikawa, A. Muto, H. Mizuno, A. Doi, W. Saikawa, R. Ando, A. Takahashi, and W. Cai for technical assistance and Y. Goshima and M. Ohara for critical reading of the manuscript. Supported by grants from Japan Science and Technology Agency.

19 June 1998; accepted 27 October 1998

# Cultural Selection and Genetic Diversity in Matrilineal Whales

Hal Whitehead

Low diversities of mitochondrial DNA (mtDNA) have recently been found in four species of matrilineal whale. No satisfactory explanation for this apparent anomaly has been previously suggested. Culture seems to be an important part of the lives of matrilineal whales. The selection of matrilineally transmitted cultural traits, upon which neutral mtDNA alleles "hitchhike," has the potential to strongly reduce genetic variation. Thus, in contrast to other nonhuman mammals, culture may be an important evolutionary force for the matrilineal whales.

Most female pilot whales (*Globicephala melas* and *G. macrorhynchus*), sperm whales (*Physeter macrocephalus*), and killer whales (*Orcinus orca*) spend their entire lives with

close female relatives, form new groups primarily by group fission, and so have a social structure that may be called matrilineal (1). These toothed whale (suborder Odontoceti) species have mtDNA nucleotide diversities about tenfold lower than is estimated for other whales and dolphins, with the exception of the narwhal (*Monodon monoceros*) (Table

Department of Biology, Dalhousie University, Halifax, Nova Scotia, Canada B3H 4J1. E-mail: hwhitehe@is.dal.ca

REPORTS

1). The social system of the narwhal is poorly known but has been described as “matrifocal” (2), so this may also be a matrilineal species. mtDNA diversity in these matrilineal species, all of which have large, geographically widespread populations, is exceeded even by that of Hector’s dolphin (*Cephalorhynchus hectori*), which is confined to New Zealand waters and numbers in the low thousands (Table 1). The reduced mtDNA diversities of the matrilineal whales do not seem to be methodological artifacts. All studies examined the same “control” region of the mitochondrial genome, studies of matrilineal cetaceans covered generally larger geographic areas than

those of nonmatrilineal species (3), and nucleotide diversities of the matrilineal whales were little changed when only one animal per group was used in the calculations (Table 1).

Low genetic diversity may result from a low mutation rate. However, control region mutation rates are no lower in the matrilineal whales than in other cetaceans (4). Generation time and population size may also affect genetic diversity (5). I converted mtDNA nucleotide diversities into approximate effective population sizes (the size of a population of females that has an expected equilibrium nucleotide diversity equal to that measured from the species) using known generation

times and the relation between mutation rate and body size. Matrilineal cetaceans have considerably reduced effective population sizes, and there is no relation between effective population size and the order of magnitude estimated current population size (Table 1).

Population bottlenecks might have reduced genetic diversity (6). However, to reduce nucleotide diversity by a factor of 10, the bottleneck would have had to have been extremely severe or prolonged [about 100 animals for 100 generations or 1000 animals for 1000 generations, from the methodology of Amos (7)]. Such scenarios seem unlikely,

**Table 1.** Estimates of nucleotide diversity of control region mtDNA, approximate effective population size, and order of magnitude estimate of population size for cetacean species and subspecies. Diversities are as given by authors or as calculated from presented data (calculated values are given when discrepancies were found). Estimates with restriction fragment length

polymorphisms are not presented because they are not comparable to those from sequencing data. Only estimates for the largest available geographic range of a species or subspecies are presented. Where two studies have similar geographic ranges, both are presented.

Species	Matrilineal?	Location	Number of samples	Base pairs sequenced	Nucleotide diversity	Effective population size*	Approximate population size†	Source
Minke whale		North Atlantic	87	345	0.0103	61,000	10 <sup>4</sup> –10 <sup>5</sup>	(24)
Minke whale		Antarctic	23	345	0.0161	97,000	10 <sup>5</sup> –10 <sup>6</sup>	(24)
Humpback whale		North Atlantic, North Pacific, Antarctic	90	283	0.0257	245,000	10 <sup>3</sup> –10 <sup>6</sup>	(25)
Humpback whale		North Atlantic, Antarctic	136	288	0.0260	248,000	10 <sup>3</sup> –10 <sup>6</sup>	(26)
Beluga whale		North America	628	234	0.0100	36,000	10 <sup>4</sup> –10 <sup>5</sup>	(27)
Bottlenose dolphin		Atlantic, Pacific	11	400	0.0245	56,000	≥ 10 <sup>5</sup>	(8)
Northern right whale dolphin		North Pacific	52	400	0.0210	71,000	≥ 10 <sup>4</sup>	(28)
Long-beaked common dolphin		North Pacific	11	404	0.0117	39,000	≥ 10 <sup>6</sup>	(29)
Short-beaked common dolphin		Pacific, Black Sea	18	404	0.0180	61,000	≥ 10 <sup>6</sup>	(29)
Harbor porpoise		North Pacific, Black Sea, North Atlantic	105	394	0.0325	155,000	≥ 10 <sup>5</sup>	(30)
Hector’s dolphin		New Zealand	34	360	0.0060	27,000	10 <sup>3</sup> –10 <sup>4</sup>	(31)
Pacific white-sided dolphin		North Pacific	116	402	0.0211	69,000	≥ 10 <sup>5</sup>	(32)
Striped dolphin		Eastern Pacific, western Mediterranean, western Atlantic	57	399	0.0210	44,000	≥ 10 <sup>6</sup>	(33)
Narwhal	?	Greenland area	74	287	0.0017	7,000	10 <sup>4</sup> –10 <sup>5</sup>	(2)
Sperm whale	M	North Atlantic, North Pacific, Antarctic	231	330	0.0039 (0.0041)‡	15,000	10 <sup>5</sup> –10 <sup>7</sup>	(6)
Sperm whale	M	Pacific, Atlantic, Indian	182	600	0.0028	11,000	10 <sup>5</sup> –10 <sup>7</sup>	(34)
Killer whale	M	North Pacific	66	520	0.0054 (0.0067)‡	13,000	10 <sup>4</sup> –10 <sup>5</sup>	(35)
Short-finned pilot whale	M	Atlantic, Pacific	13	400	0.0011 (0.0014)‡	3,000	≥ 10 <sup>5</sup>	(8)
Long-finned pilot whale	M	Atlantic	59	400	0.0006 (0.0006)‡	2,000	10 <sup>5</sup> –10 <sup>7</sup>	(8)

\*Effective population sizes were calculated as  $d/[2\mu(1-d)]$  [from Eq. 25 of (5)], where  $d$  is the nucleotide diversity and  $\mu = 3.42m^{-0.1492}g \times 10^{-8}$  [regression from the homeotherm line in Fig. 2 of (36)], where  $m$  is the mass of a mature female in kilograms [(22), except (37), for northern right whale dolphins] and  $g$  is the generation length, the mean age at sexual maturity plus interbirth interval (22). Unavailable generation lengths were set at 5.5 years for Hector’s dolphin and 9 years for northern right whale dolphin and Pacific white-sided dolphin, as approximate medians for animals of similar size and habitat, and unavailable body mass for the short-finned pilot whale was set at 890 kg, as in the long-finned pilot whale. †(38). ‡Recalculated with just one randomly chosen animal per matrilineal group (assuming that all separate strandings and by-catch are from separate groups).

## REPORTS

especially for long-lived and widespread animals like the matrilineal whales.

If fitness were strongly correlated among females in a matriline, so that they largely reproduce or die together, then this could reduce mtDNA diversity in matrilineal species (8). However, no substantial group-specific effects were found in an analysis of killer whale life history parameters (9), and a realistic model of group extinction in pilot whales (which could result from mass strandings or drive fisheries) found that mtDNA diversity could be substantially reduced if matrilineal groups of about 20 to 50 females became extinct at a rate of about 1% per year over many generations but that at lower extinction rates (0.1% per year) the effect was very small (8). For the more oceanic populations of both pilot whale species, such as those in Antarctic or eastern tropical Pacific waters that contain substantial proportions of the global populations of the two species, group extinction rates of 1% per year seem very unlikely. The scenario is even more improbable for sperm and killer whales, which have smaller matrilineal group sizes and are much more rarely hunted by drive fisheries or observed to mass strand.

Alternatively, selection, the differential survival or reproduction of phenotypes, could be reducing diversity in a number of ways. However, it is not obvious why selection should be particularly prevalent in the mtDNA genome of matrilineal odontocetes, and none of the values of Tajima's "D" statistic (10) calculated from the data sets listed in Table 1 showed significant ( $P < 0.05$ ) evidence of selection in the control region. Instead, I suggest that neutral or nearly neutral mtDNA loci hitchhike on selected maternally inherited cultural traits.

Culture can be defined as information that may cause variation in behavior and that is acquired from conspecifics by imitation or learning (11). Cultural traits learned, almost without error, from the mother or members of

a matrilineal group are analogous to maternally inherited mtDNA loci. So, with the use of a theory developed for molecular hitchhiking in which diversity at a neutral locus is reduced by selection at a linked locus (12), beneficial cultural traits that are transmitted maternally (or within matrilineal groups) have the potential to reduce mtDNA diversity. This is shown with simulations in Fig. 1 for the case of a single advantageous (10% fitness advantage) matrilineally transmitted cultural development that sweeps through a population of 200,000 females, devastating mtDNA diversity. The effects are slower but otherwise similar when the fitness advantage is smaller. Nucleotide diversity is still reduced even when the matrilineal transmission is imperfect, either because some daughters do not learn the trait from mothers who have it (Fig. 1C) or because females occasionally learn the trait from outside their matriline (Fig. 1D). However, further simulations showed that if nonmatrilineal transmission is much greater than the 0.5% used to generate Fig. 1D, then mtDNA diversity is little reduced.

Although cetaceans are difficult to study, field research is uncovering many instances of apparent culture. For instance, individuals appear to learn particular feeding techniques from their mothers, members of their matrilineal group, or the general adult population (13). Heimlich-Boran and Heimlich-Boran suggest that matrilineally transmitted cultural feeding specializations on fish and marine mammals could have initiated the divergence of the sympatric "resident" and "transient" forms of killer whales off Vancouver Island, which now show genetic and morphological differences (13).

The clearest forms of cultural transmission among cetaceans concern vocalizations. Cetaceans are some of the few nonhuman mammals known to have vocal learning (14) and thus the potential for culturally acquired acoustic repertoires. In killer and sperm

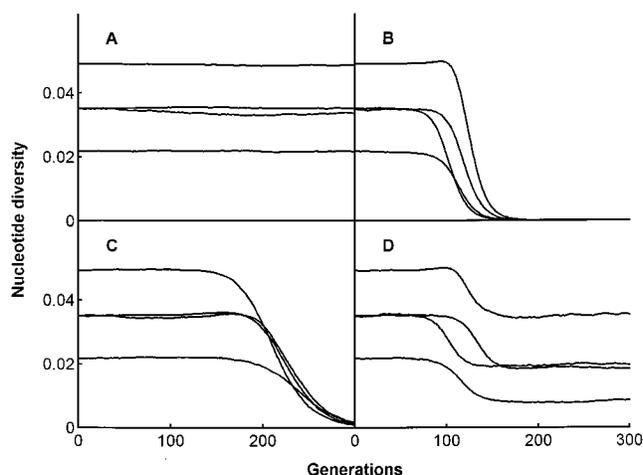
whales, matrilineal groups occupying the same ranges use distinctive, and almost certainly culturally acquired, vocal dialects, a unique finding among nonhuman animals (15). In these two species, groups sharing recent common ancestry seem to have similar repertoires, and in sperm whales the vocal repertoire of a group correlates with the types of environmentally acquired markings (such as those from the teeth of predators) on its members (16). This suggests that methods of facing environmental challenges (such as predation) are being transmitted culturally and being conserved within matrilineal groups in parallel with vocal dialects.

Simulations show that, to maintain gene-dialect correlations, as found in sperm whales (16), rates of nonmatrilineal transmission of dialect must not occur at rates much faster than the genetic mutation rate (17). Thus, even though matrilineal groups of whales often meet and merge temporarily (18), nonmatrilineal transmission of dialect in these species must occur at a rate below the 0.5% threshold indicated in Fig. 1D for reduction in genetic diversity by cultural hitchhiking. Selectively advantageous cultural traits, which might include migration strategies (19), foraging techniques (13), and babysitting (1), may be similarly stable, and so cultural hitchhiking is a feasible explanation for lowered genetic diversity in these species.

If cultural hitchhiking has happened, then the matrilineal odontocetes are exceptions to the general rule that substantial gene-culture coevolution is confined to humans (20). Why? They have attributes that favor the evolution of social learning and culture (21): long lives (~60 to 90 years), advanced cognitive abilities, prolonged parental care, permanent and cohesive groups, and an environment that varies substantially over large spatial and temporal scales (thus making individual learning costly) (1, 22). Cultural innovations will have most evolutionary importance in populations where ranges overlap, because the innovators will affect many conspecifics and a great deal of habitat. Pilot, killer, and sperm whales have wide ranges (~1000 km) that they share with many hundreds or thousands of conspecifics. Gene-culture coevolution is also more probable when cultural features are transmitted with little error between generations (20). Matrilineally based groups provide a suitable environment for stable group-specific cultures to develop through conformist traditions (11), and such situations can lead to variation between groups upon which selection may act (23).

Methods to further test this "cultural hitchhiking" hypothesis against feasible alternatives need to be devised. For instance, a comparative analysis of nuclear DNA diversity in the matrilineal and nonmatrilineal

**Fig. 1.** mtDNA nucleotide diversity trajectories of four simulated populations of 200,000 females over 300 generations. (A) Control, (B) with a beneficial matrilineally transmitted cultural trait (selective advantage to one female and all her descendants of 10%) introduced at generation 0, (C) with 5% of daughters of mothers with the trait not learning it, and (D) with 0.5% of transmission of trait going to daughters of mothers lacking it (39).



cetaceans would help distinguish between causes of low mtDNA diversity that operate maternally (such as cultural hitchhiking or selection on the mtDNA genome) or nonmaternally (such as population bottlenecks).

The apparently greater role of cultural inheritance among cetaceans compared with nonhuman terrestrial mammals is likely ultimately linked to environmental differences. Compared with most terrestrial environments, the ocean can support large body sizes, has low travel costs and no barriers, contains dispersed and patchy food, and transmits sound very efficiently. The behavior and social structure of cetaceans seem to have evolved distinctive features in this setting. These features include vocal learning, large home ranges, lack of territoriality, and bisexual group philopatry (*J*). Cultural transmission may be another such feature favored by the environment of the matrilineal whales.

References and Notes

1. R. C. Connor *et al.*, *Trends Ecol. Evol.* **13**, 228 (1998).
2. P. J. Palsbøll, M. P. Heide-Jørgensen, R. Dietz, *Heredity* **78**, 284 (1997).
3. Although the nucleotide diversity of killer whales in Table 1 uses only North Pacific animals, much of the worldwide genetic diversity of this species is in the North Pacific [A. R. Hoelzel, *Rep. Int. Whaling Comm.* **13** (special issue), 225 (1991)].
4. Substitutions per site in the first 400 base pairs (bp) of the mtDNA control region compared with an outgroup, the cow, are as follows: sperm whale, 0.54; pilot whale, 0.50; killer whale, 0.49; fin whale (*Balaenoptera physalus*), 0.45; minke whale (*B. acutorostrata*), 0.47; and Commerson's dolphin (*Cephalorhynchus commersonii*), 0.46. Sequences are from M. C. Dillon and J. M. Wright [*Mol. Biol. Evol.* **10**, 296 (1993)] and (8), aligned by ClustalW [D. G. Higgins, A. J. Bleasby, R. Fuchs, *Comput. Applic. Biosci.* **8**, 189 (1991)]. Substitution rates are from equations 1 and 2 of C.-I. Wu and W. H. Li [*Proc. Natl. Acad. Sci. U.S.A.* **82**, 1741 (1985)].
5. C. W. Birky, T. Maruyama, P. Fuerst, *Genetics* **103**, 513 (1983).
6. T. Lyrholm and U. Gyllensten, *Proc. R. Soc. London B* **265**, 1679 (1998).
7. B. Amos, *Rep. Int. Whaling Comm.* **46**, 657 (1996).
8. L. A. Siemann, dissertation, Massachusetts Institute of Technology, Cambridge (1994).
9. S. Brault and H. Caswell, *Ecology* **74**, 1444 (1993).
10. F. Tajima, *Genetics* **123**, 585 (1989).
11. R. Boyd and P. Richerson, *Culture and the Evolutionary Process* (Univ. of Chicago Press, Chicago, 1985).
12. N. L. Kaplan, R. R. Hudson, C. H. Langley, *Genetics* **123**, 887 (1989).
13. J. R. Heimlich-Boran and S. L. Heimlich-Boran, *Symp. Zool. Soc. London*, in press.
14. V. M. Janik and P. J. B. Slater, *Adv. Study Behav.* **26**, 59 (1997).
15. J. K. B. Ford, *Can. J. Zool.* **69**, 1454 (1991); L. Weilgart and H. Whitehead, *Behav. Ecol. Sociobiol.* **40**, 277 (1997).
16. H. Whitehead *et al.*, *J. Anim. Ecol.* **67**, 253 (1998).
17. Simulations used a modified version of the model in Fig. 1, with a population size of  $10^5$ , a mutation rate of  $7.5 \times 10^{-9}$ /year, a generation time of 15 years, and 400 bp sequenced, as well as four other combinations of population size and mutation rate. Runs were made with maternally transmitted dialects mutating into different forms at rates of two, four, and (when computationally manageable) eight times the haplotype mutation rate. The gene-dialect relation was measured by Goodman-Kruskal's  $\lambda$ , which indicates the ability of one categorical variable to improve predictions of the other: 0.0 is no predictive ability, and 1.0 is perfect predictive ability. Long-term

- values of  $\lambda$  fell below 0.55 when nonmaternal transmission of dialect was introduced at  $\geq 10$  times the haplotype mutation rate and to below 0.22 (usually below 0.1) when transmission of dialect was  $>100$  times the haplotype mutation rate, equivalent to nonmaternal cultural transmission rate upper bounds of 0.045%/generation and 0.45%/generation, respectively, in the most realistic simulations.
18. H. Whitehead, S. Waters, T. Lyrholm, *Behav. Ecol. Sociobiol.* **29**, 385 (1991).
19. H. Whitehead, *J. Anim. Ecol.* **65**, 429 (1996).
20. K. N. Laland, *Ethol. Sociobiol.* **13**, 87 (1992).
21. T. J. Roper, *Sci. Prog.* **70**, 571 (1986).
22. H. Whitehead and J. Mann, in *Cetacean Societies*, J. Mann *et al.*, Eds. (Univ. of Chicago Press, Chicago, in press).
23. P. J. Richerson and R. Boyd, in *Ideology, Warfare and Indoctrinability*, I. Eibl-Eibesfeldt and F. Salter, Eds. (Berghahn Books, London, in press).
24. I. Bakke *et al.*, *Mar. Biol.* **125**, 1 (1996).
25. P. J. Palsbøll *et al.*, *Mar. Ecol. Prog. Ser.* **116**, 1 (1995).
26. C. S. Baker *et al.*, *Proc. Natl. Acad. Sci. U.S.A.* **90**, 8239 (1993).
27. J. G. Brown Gladden, M. M. Ferguson, J. W. Clayton, *Mol. Ecol.* **6**, 1033 (1997).
28. A. E. Dizon, C. A. Leduc, R. G. Leduc, *Calif. Coop. Oceanic Fish. Invest. Rep.* **35**, 61 (1994).
29. P. E. Rosel, A. E. Dizon, J. E. Heyning, *Mar. Biol.* **119**, 159 (1994).
30. P. E. Rosel, A. E. Dizon, M. G. Haygood, *Can. J. Fish. Aquat. Sci.* **52**, 1210 (1995).
31. F. B. Pichler *et al.*, *Conserv. Biol.* **12**, 676 (1998).
32. C. A. Lux, A. S. Costa, A. E. Dizon, *Rep. Int. Whaling Comm.* **47**, 645 (1997).
33. I. Archer, dissertation, University of California, San Diego (1996).
34. M. C. Dillon, dissertation, Dalhousie University, Halifax, Nova Scotia, Canada (1996).
35. A. R. Hoelzel, M. Dahlheim, S. J. Stern, *J. Hered.* **89**, 121 (1998).

36. A. P. Martin and S. R. Palumbi, *Proc. Natl. Acad. Sci. U.S.A.* **90**, 4087 (1993).
37. T. A. Jefferson *et al.*, in *Handbook of Marine Mammals*, S. H. Ridgway and R. Harrison, Eds. (Academic Press, London, 1994), vol. 5, pp. 355–362.
38. M. Klinowska, *Dolphins, Porpoises and Whales of the World. The IUCN Red Data Book* (IUCN, Gland, Switzerland, 1991); P. R. Wade and T. Gerrodette, *Rep. Int. Whaling Comm.* **42**, 533 (1992); S. T. Buckland *et al.*, *ibid.* **14** (special issue), 33 (1993); J. Barlow, *Fish. Bull. U.S.* **93**, 1 (1995).
39. The number of daughters of a female was Poisson distributed with mean  $Ks/P$ , where  $P$  is the current female population size,  $s$  is the selective advantage of the female (1.1 for females with the trait and 1.0 for those without), and  $K$  is the carrying capacity (200,000 females). All descendants of females with a particular mtDNA haplotype carried that haplotype, except a proportion  $\mu bg$  [where  $\mu$  is the mutation rate, set to  $7.5 \times 10^{-9}$ /year [A. R. Hoelzel, J. M. Hancock, G. A. Dover, *Mol. Biol. Evol.* **8**, 475 (1991)],  $b$  is the number of base pairs being considered (300), and  $g$  is the generation length (15 years)] carrying novel haplotypes, different from the maternal haplotype at one base pair. The four populations, initially mitochondrially homogeneous, ran for 330,000 generations to stabilize haplotype and nucleotide diversities and then, in each situation (Fig. 1, A to D), for an additional 300 generations to indicate the dynamics of the nucleotide diversity. Runs in which the cultural trait drifted to extinction were discarded.
40. This research was funded by the Natural Sciences and Engineering Research Council of Canada. I thank S. Dufault for gathering and processing data; R. Baird, A. Horn, D. Ruzzante, and L. Weilgart for information and ideas; and R. Baird, J. Christal, R. C. Connor, A. Horn, K. Laland, E. Zouros, and two anonymous reviewers for comments on the manuscript.

4 March 1998; accepted 20 October 1998

# Zebrafish *hox* Clusters and Vertebrate Genome Evolution

Angel Amores, Allan Force, Yi-Lin Yan, Lucille Joly, Chris Amemiya, Andreas Fritz, Robert K. Ho, James Langeland, Victoria Prince, Yan-Ling Wang, Monte Westerfield, Marc Ekker,\* John H. Postlethwait\*†

*HOX* genes specify cell fate in the anterior-posterior axis of animal embryos. Invertebrate chordates have one *HOX* cluster, but mammals have four, suggesting that cluster duplication facilitated the evolution of vertebrate body plans. This report shows that zebrafish have seven *hox* clusters. Phylogenetic analysis and genetic mapping suggest a chromosome doubling event, probably by whole genome duplication, after the divergence of ray-finned and lobe-finned fishes but before the teleost radiation. Thus, teleosts, the most species-rich group of vertebrates, appear to have more copies of these developmental regulatory genes than do mammals, despite less complexity in the anterior-posterior axis.

*HOX* cluster genes encode DNA binding proteins that specify fate along the anterior-posterior axis of bilaterian animals (*1*). Remarkably, the order of *HOX* genes along the chromosome reflects the order they act along the body (*2*). Invertebrate chordates have one *HOX* cluster and little axial diversity, but tetrapods have four clusters and substantial axial complexity (*3*). Tetrapod clusters arose by duplications of an ancestral cluster containing 13 genes (*4*). Although it is widely

assumed that vertebrates have four *HOX* clusters, initial studies of teleost fish, the most diverse group of vertebrates, revealed unexpected *HOX* genes (*5–8*). To understand this problem, we isolated *hox* clusters from the zebrafish *Danio rerio*.

To complement previous surveys of zebrafish *hox* gene fragments (*7, 8*), we identified genomic DNAs in P1 artificial chromosomes (PACs), using degenerate primers to amplify homeoboxes (*9*). We then identified overlap-